



## FondsSpotNews 440/2018

### Liquidation von einem Fonds der Thames River Traditional Funds plc

Traditional Funds hat uns darüber informiert, dass folgender Fonds zum 31. Oktober 2018 liquidiert wird.

Das bedeutet, dass der gesamte Fonds aufgelöst und das angelegte Kapital einschließlich der aufgelaufenen Erträge an die Anteilhaber anteilig ausgeschüttet wird.

Fondsname	WKN	ISIN
Eastern European A USD Inc	A0BLAT	IE0009751193

Fondsanteile können über die FFB noch bis zum 26.10.2018 gekauft und zurückgegeben werden.

Liquidationserlöse schreiben wir der jeweiligen Referenzbankverbindung unserer Kunden gut. Kunden, die zum Zeitpunkt der Auflösung ein FFB FondsdepotPlus besitzen, erhalten die Gutschrift des Liquidationserlöses auf ihrem Abwicklungskonto.

Kunden, die Pläne und/oder Bestände in diesem Fonds haben, informieren wir sowohl über die Auflösung als auch die Einstellung ihrer Pläne.

Den dauerhaften Datenträger der Fondsgesellschaft haben wir Ihnen beigelegt.

**Hierbei handelt es sich um ein Schriftstück der Fondsgesellschaft. Der Inhalt des Dokumentes wird von der FFB nicht geprüft.**

Für die Verwahrung und Administration von Anteilen und die Umsetzung von Aufträgen verweisen wir auf unsere allgemeinen Geschäftsbedingungen und unser Preis- und Leistungsverzeichnis.

Freundliche Grüße

Ihre FFB

Kronberg im Taunus, 5. Oktober 2018

**TRADITIONAL FUNDS PLC**  
**78 Sir John Rogerson's Quay,**  
**Dublin 2,**  
**Ireland.**

Date: 3 October 2018

**This document is important and should be read now. If you are unsure what action to take, please seek advice from your investment consultant or other professional adviser.**

**If you have recently sold or transferred all of your Shares in Eastern European Fund (“the Fund”) a sub-fund of Traditional Funds plc (the “Company”), please pass this letter at once to the purchaser or transferee or to the stockbroker, bank, or other agent you used to sell or transfer the Shares.**

**Unless defined differently in this letter, when we use capitalised terms they have the same meaning as in the latest prospectus of the Company dated 12 July 2018 (the “Prospectus”). You can get a copy of the Prospectus during normal business hours from the Administrator.**

**Please note that the Central Bank has not reviewed this letter.**

Dear Shareholder,

**Termination of Eastern European Fund (the “Fund”)**

We are writing to you as a shareholder in the Fund, which is a sub-fund of Traditional Funds plc, to inform you of our intention to terminate the Fund.

**1. Background**

The directors of the Company (the “Directors”) have concluded that it is in the best interests of the Fund and its Shareholders for the Fund to be closed. Redemptions mean that the Fund has become too small to be run as efficiently as the Directors would like. Without additional investment, we envisage that the Fund will continue to decline, becoming increasingly unviable and that, as a result of the decline, the costs per share of operating the Fund will rise. By acting now, rather than delaying any further, the Directors would like to ensure that the fixed costs of closure are spread across a reasonable level of AUM and the closure of the Fund is done in a way that is in the best interests of all of the Shareholders.

Therefore, in accordance with the provisions of the Company’s Articles of Association, the Directors hereby give you notice of their intention to redeem all of the Shares of the Fund on the dealing day falling on 31 October 2018 (the “Effective Date”) and in due course the Fund will be closed.

**Impacted ISINS:**

IE0009751193 Eastern European A USD Inc  
IE00BLDYRL54 Eastern European B USD Inc

**2. Termination of the Fund**

The Directors further advise that with immediate effect, the Fund will be closed to all new subscriptions and the calculation of the Net Asset Value of the Fund's Shares will be on a "bid basis" set out in the Company's prospectus.

The Fund will remain open as normal during this period for redemption applications which should continue to be sent to the Fund's Administrator, State Street Fund Services (Ireland) Limited.

The Fund may deviate from its stated investment policy and hold significant cash or similar investments in the immediate run up to the termination date in order to facilitate redemptions.

The closure of the Fund will commence on the Effective Date and the Fund will cease all dealing in shares from 1.00 p.m. (Dublin time) on 30 October 2018. The assets of the Fund will then be liquidated so that the proceeds can be paid to the remaining shareholders as soon as possible following the Effective Date. Payment will be made into the last designated account that we currently hold on file.

We expect the termination to be completed within approximately 2 months of the Effective Date. Within five business days from completion of the termination, we may make a final payment of any balance remaining from the realisation of the assets of the Fund, net of a provision for any further expenses of the Fund, although no further expenses are expected.

### **3. Further Important Information**

#### Tax Consequences

Please be aware that this may be deemed a disposal of your shares in the Fund for capital gains tax purposes, depending on the rules applicable in your country, and may give rise to a capital gains tax liability. This will, of course, depend upon your individual circumstances. If you are in any doubt as to the taxation consequences of your action you should contact your accountant or financial adviser.

#### Anti-Money Laundering

Any payment will be subject to you having provided all required anti-money laundering identification documents to us. Should any documents be outstanding, the proceeds will be held in a non-interest bearing bank account until all outstanding documentation has been received. If you are unsure whether any anti-money laundering documents are outstanding please do contact us so that we can ensure you receive your proceeds as quickly as possible.

#### Costs of the closure of the Fund

Any costs associated with the closure, such as costs associated with the sale of the investments in the Fund and any legal, mailing and administration costs will be paid by the Fund as permitted by the prospectus of the Company. We do not expect these costs to exceed US\$30,000 and the costs of the termination will be accrued in the price of shares. To the extent that the costs of termination are in excess of this accrual, the costs will be borne by BMO Global Asset Management.

### **4. Conclusion**

We consider that the intended closure is favourable to Shareholders. Should you have any questions, please call or email your usual investor relations contact. However, we are not able to provide advice so if you are unsure about the contents of this letter, please contact your investment consultant, tax adviser, and/or legal adviser as appropriate.

Yours sincerely,

*David Hammond*

For and on behalf of  
Traditional Funds plc